

# Extrapolating with density surface models

Laura Mannocci



# Case study

Extrapolating cetacean densities  
into the unsurveyed high seas  
of the western North Atlantic

Laura Mannocci, Jason J Roberts, David L Miller, Patrick N Halpin



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“Here be dragons”

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# Acknowledgements

- Observers, crews, funding agencies, and everyone responsible for conducting the surveys:



- Many people who shared surveys, provided advice, and reviewed results:  
Suzanne Bates, Elizabeth Becker, Tim Cole, Peter Corkeron, Andrew DiMatteo, Megan Ferguson, Karin Forney, Lance Garrison, Tim Gowan, Jim Hain, Phil Hammond, Jolie Harrison, Christin Khan, Anu Kumar, Erin LaBrecque, Claire Lacey, Gwen Lockhart, Bill McLellan, Dave Miller, Richard Pace, Debi Palka, Andy Read, Vincent Ridoux, Rob Schick, Sofie Van Parijs, Gordon Waring, Amy Whitt and many others...

- Our funders:  

Fisheries



Ship traffic



Military sonars



Fisheries



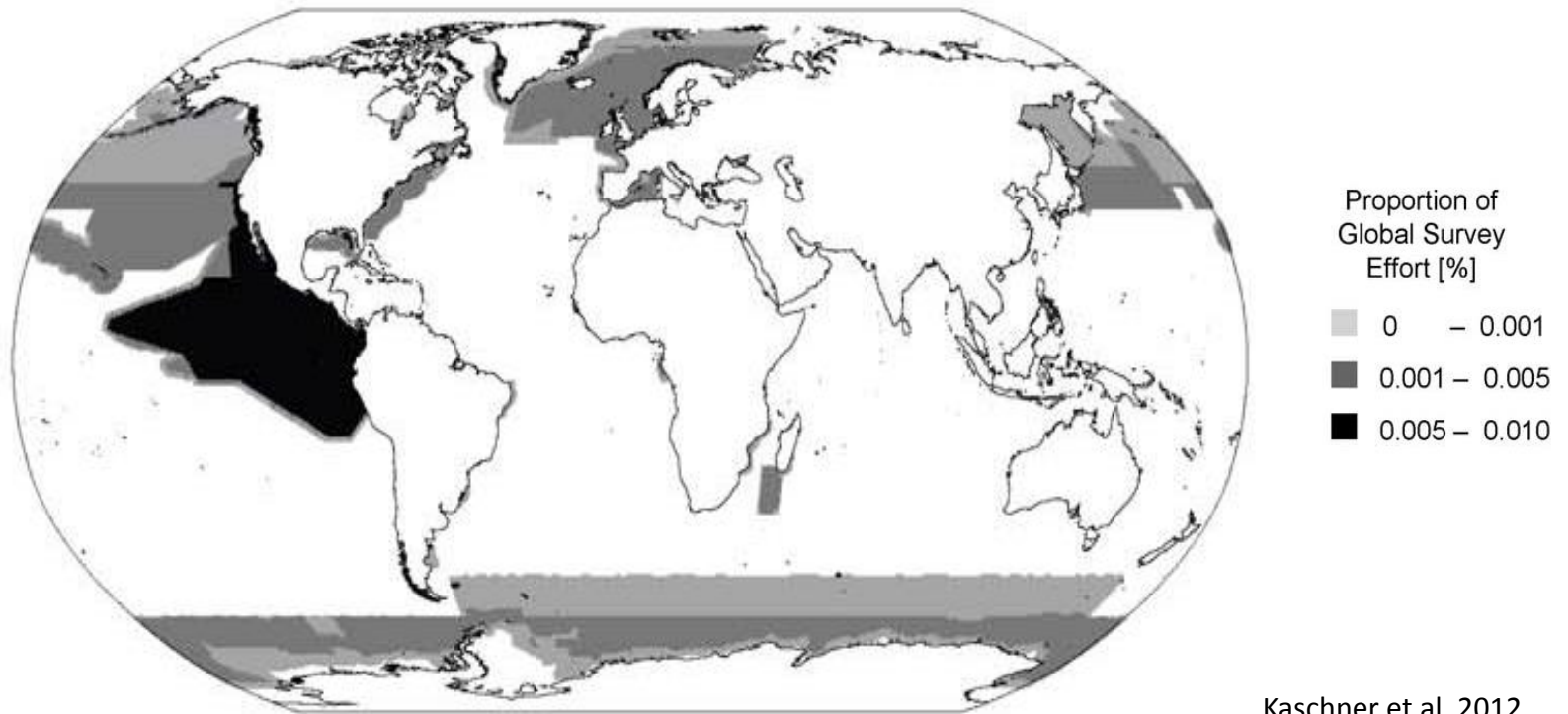
Ship traffic



Military sonars



To evaluate the impacts of these human activities on cetacean populations in the high seas, we need density estimates

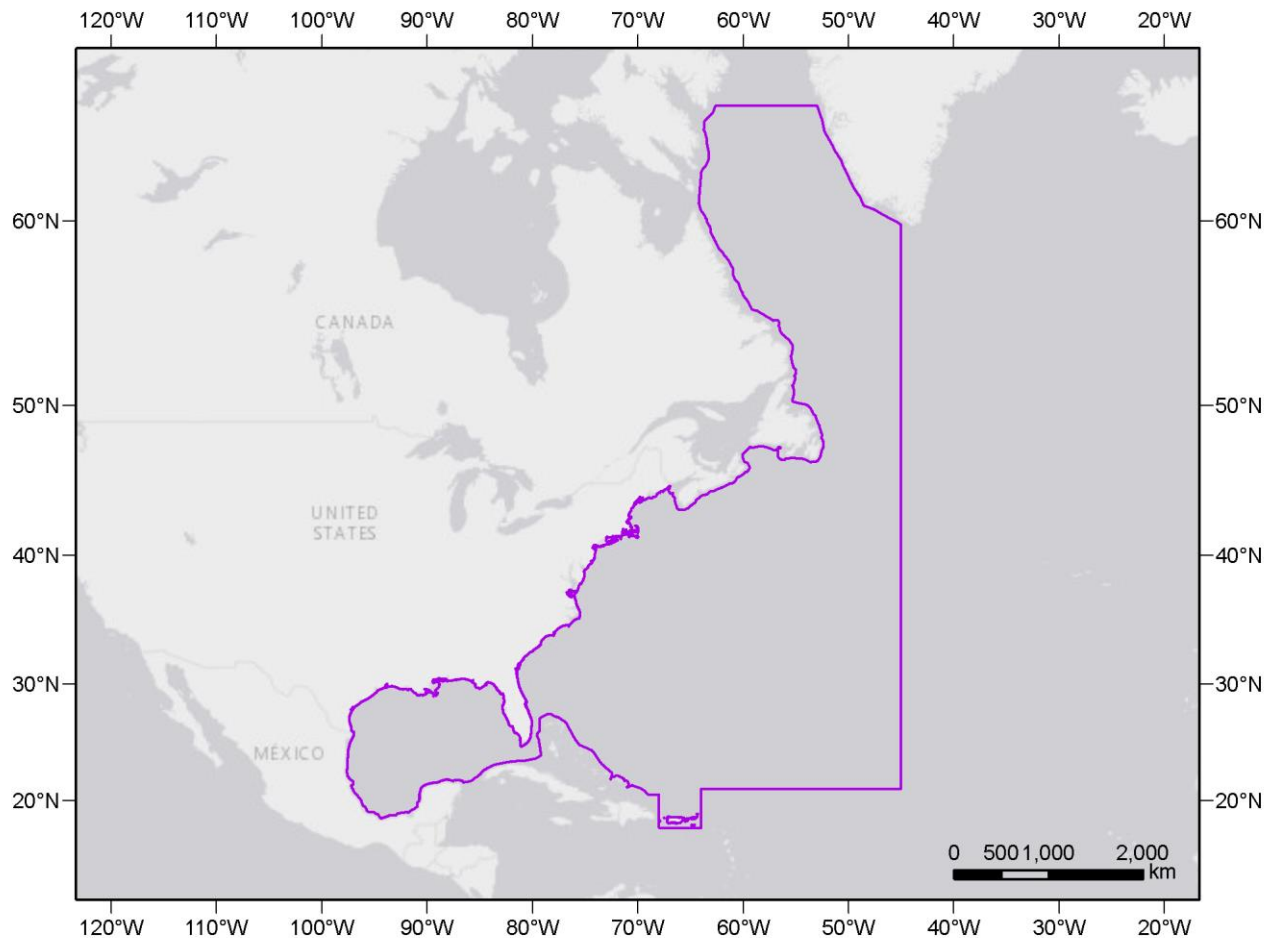


Kaschner et al. 2012

Large regions of the high seas have never been surveyed

Our goal: to produce the most reliable density estimates for all cetacean species in the U.S. Navy AFTT area

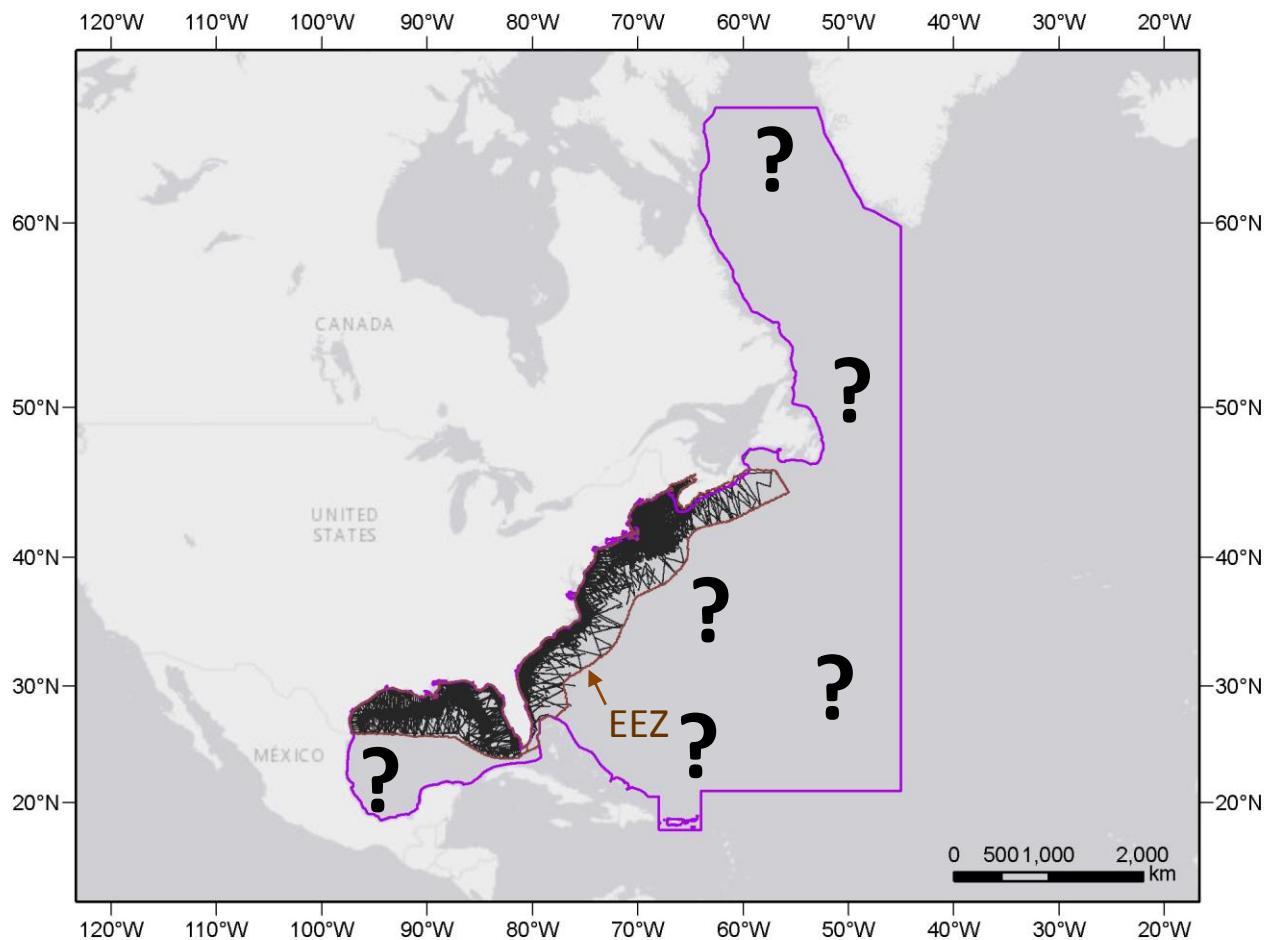
## NAVY Atlantic Fleet Testing & Training Area





Our goal: to produce the most reliable density estimates for all cetacean species in the U.S. Navy AFTT area

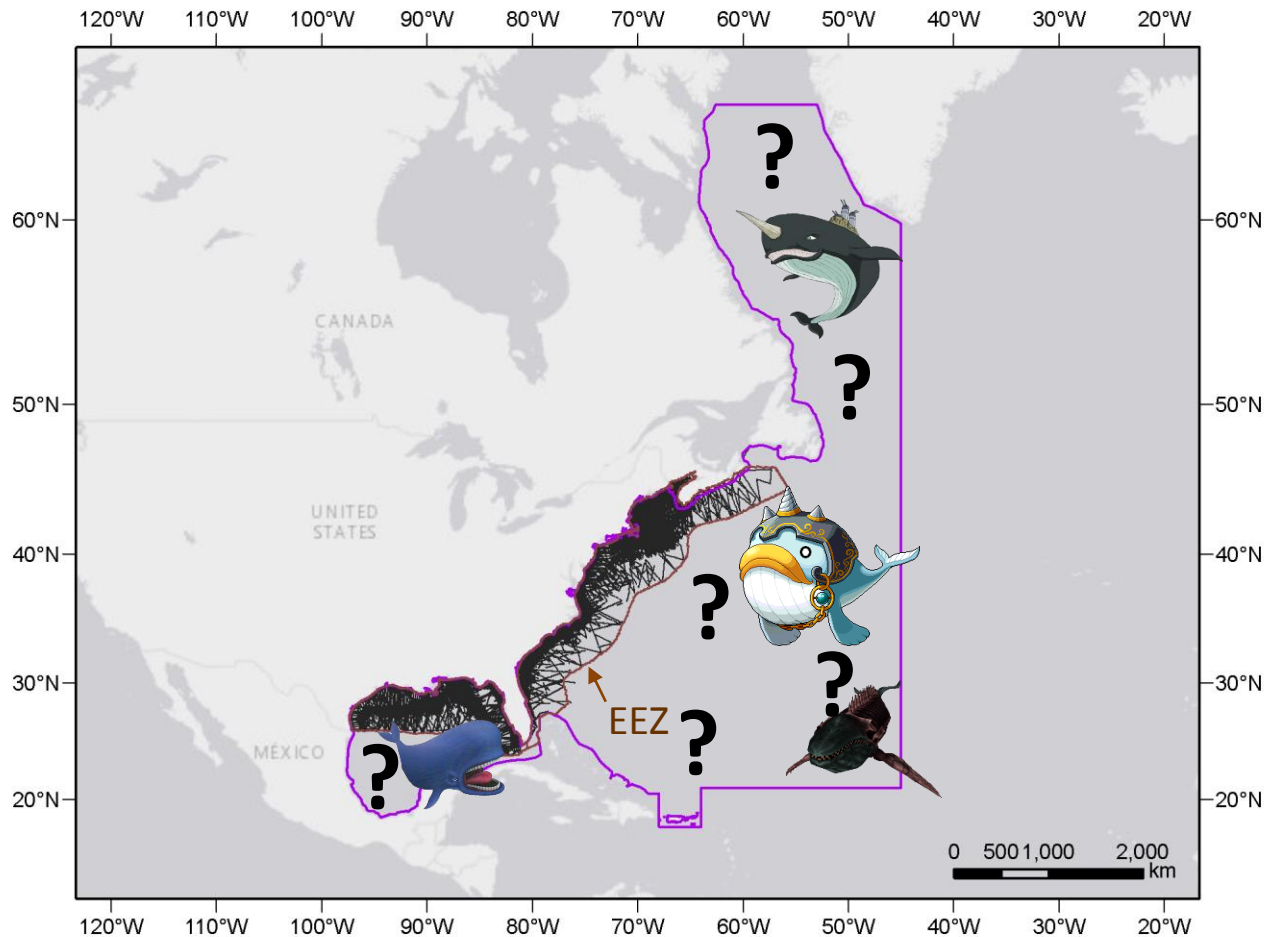
## NAVY Atlantic Fleet Testing & Training Area



**U.S. surveys only covered a fraction of the AFTT area → extrapolate carefully**

Our goal: to produce the most reliable density estimates for all cetacean species in the U.S. Navy AFTT area

## NAVY Atlantic Fleet Testing & Training Area



**U.S. surveys only covered a fraction of the AFTT area → extrapolate carefully**

To extrapolate carefully, we:

- (1) Built models with environmental covariates only



**Environmental covariates with a broad range of values  
sampled by the surveys**





**Environmental covariates with a broad range of values  
sampled by the surveys**



~~Spatial covariates~~

~~-Latitude, Longitude~~



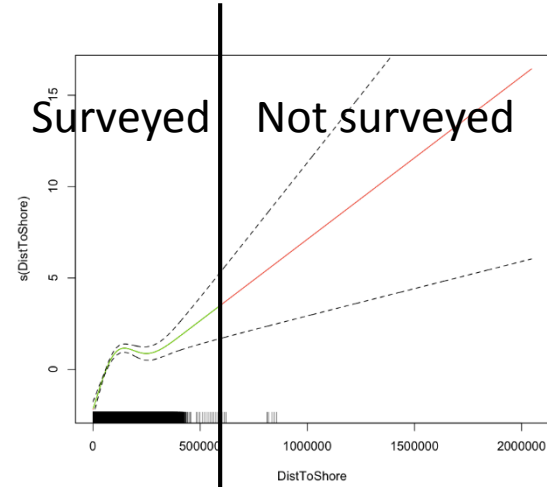
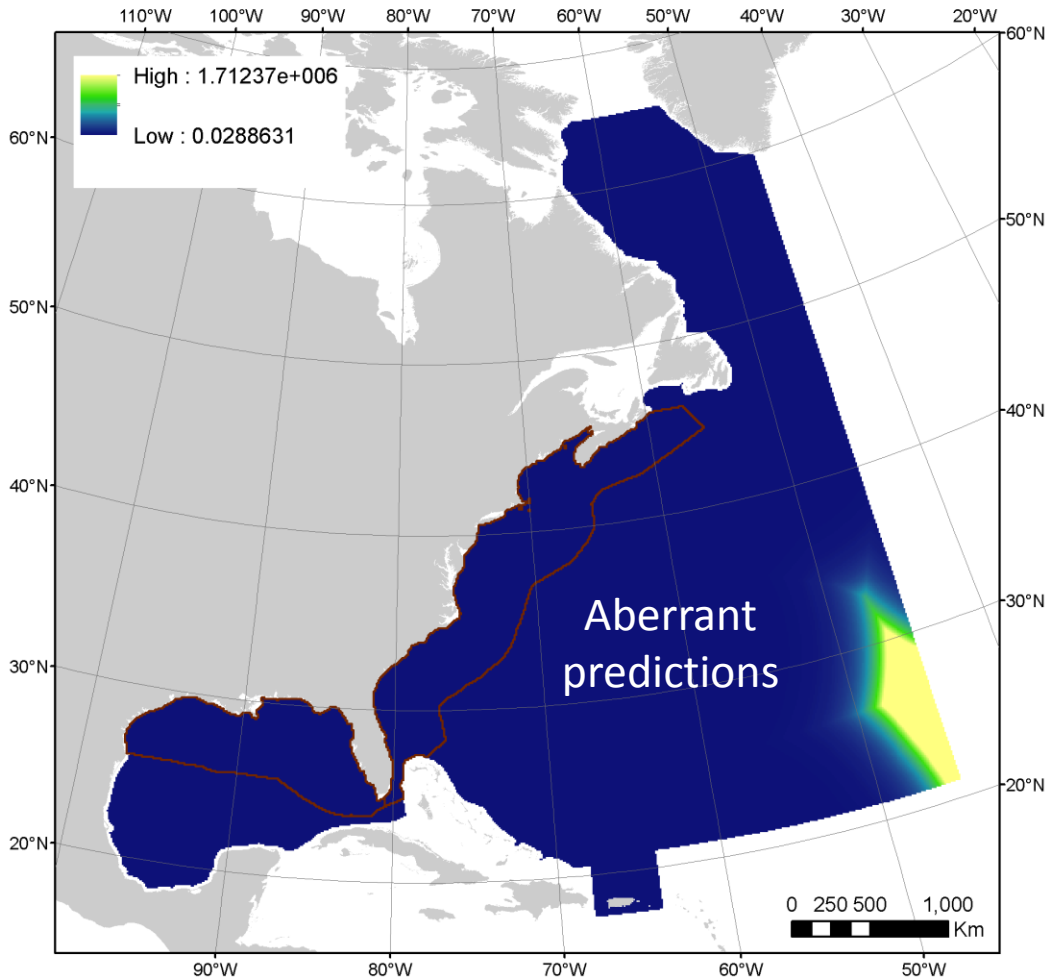
**Environmental covariates with a broad range of values  
sampled by the surveys**



|                                 |   |
|---------------------------------|---|
| <b>Spatial covariates</b>       | <del>-Latitude, Longitude</del>   |
| <b>Physiographic covariates</b> | -Depth<br>-Slope<br><del>-Distance to shore</del><br><del>-Distance to isobaths</del> |

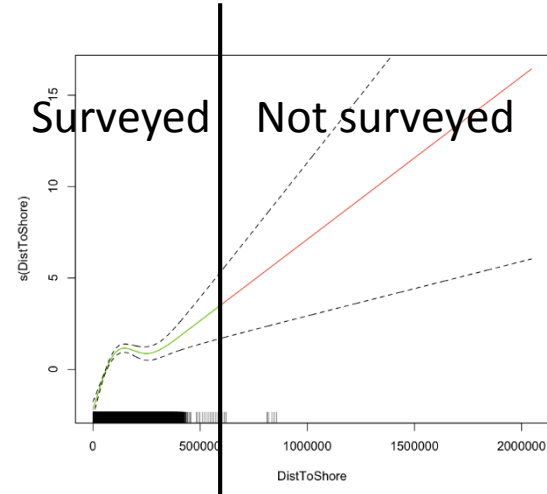
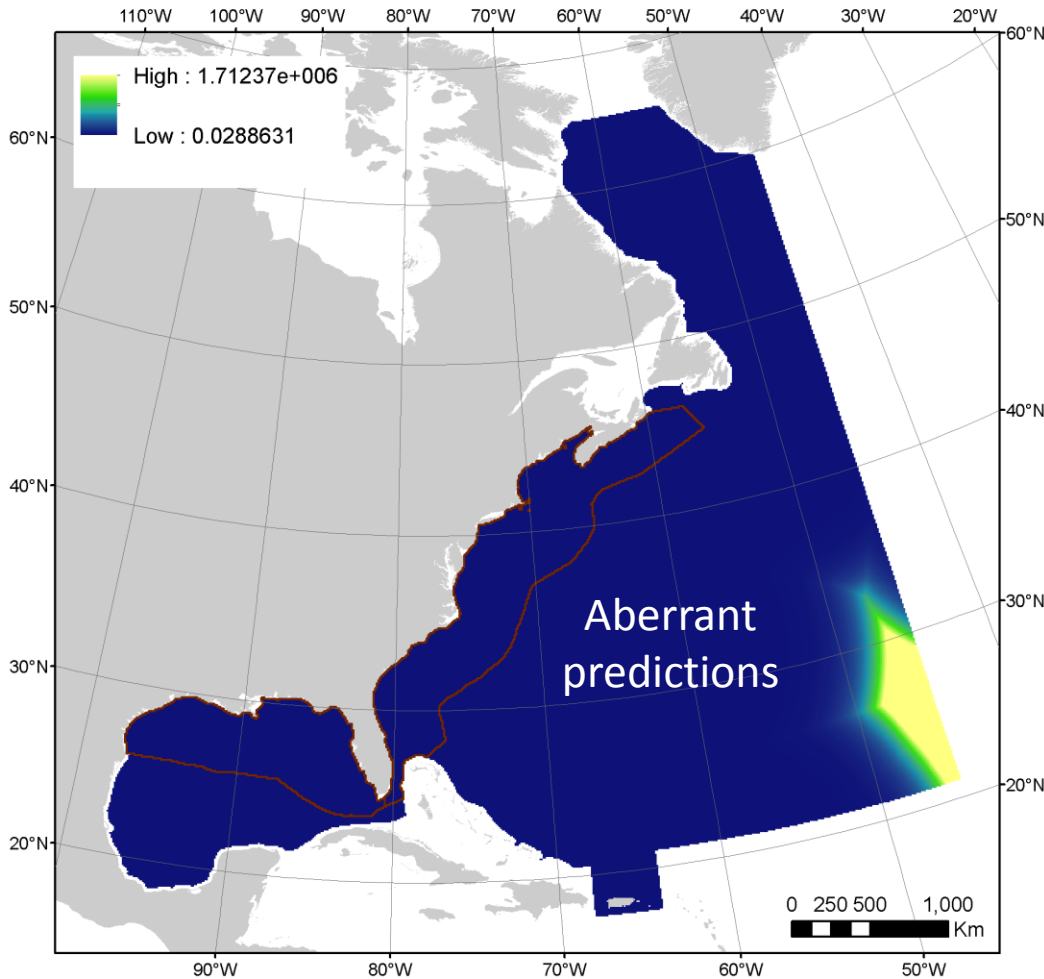
This is what would happen if we use distance to shore as a covariate:

Predicted density map for beaked whales



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Predicted density map for beaked whales



Dangerous extrapolation  
beyond the covariate values  
sampled by surveys







**Environmental covariates with a broad range of values  
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| <b>Physical covariates</b>      | -Sea surface temperature<br>-Distance to SST fronts<br>-Sea level anomaly             |



## Environmental covariates with a broad range of values sampled by the surveys

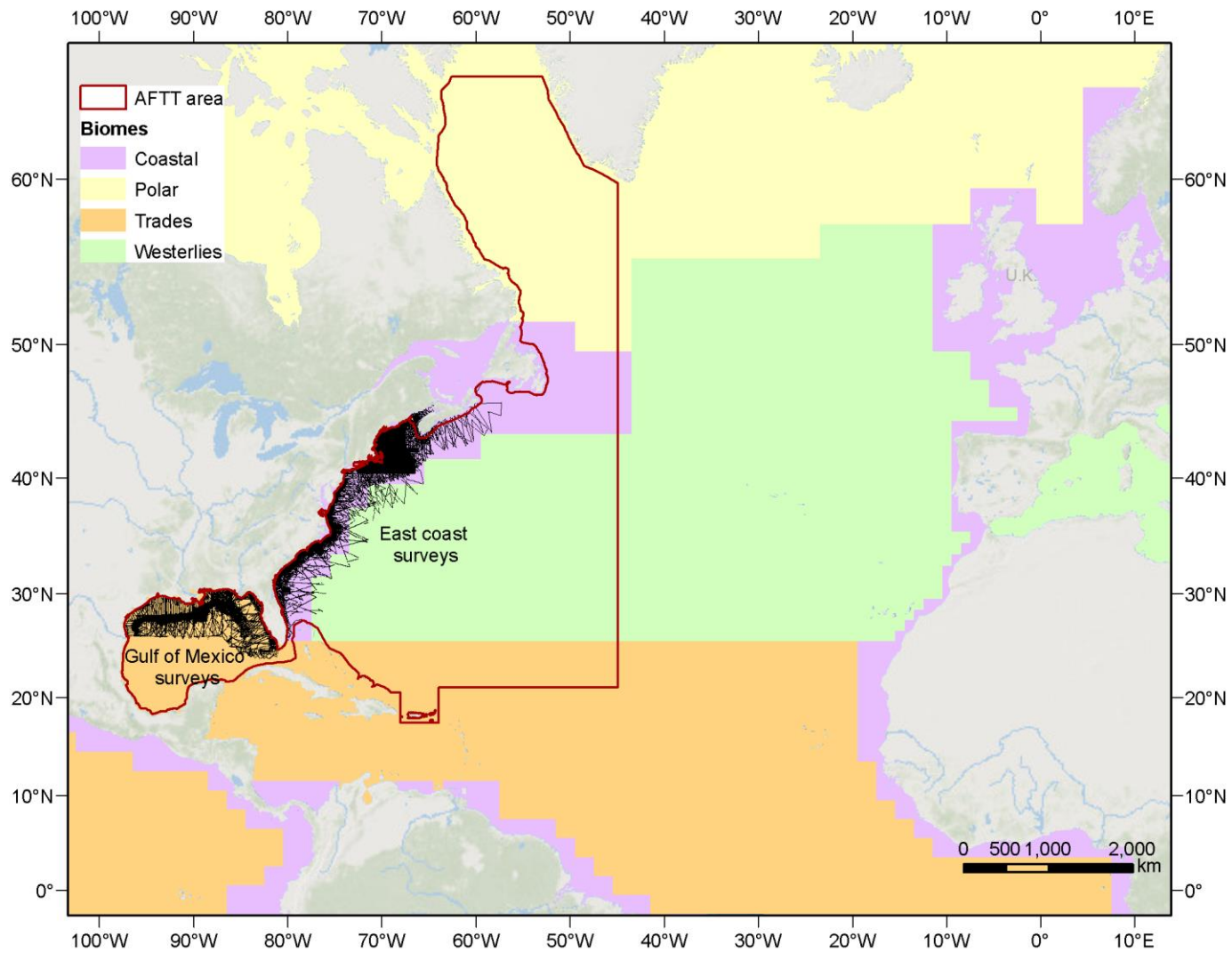


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| <b>Physical covariates</b>      | -Sea surface temperature<br>-Distance to SST fronts<br>-Sea level anomaly  |
| <b>Biological covariates</b>    | -Chlorophyll concentration<br>-Primary productivity<br>-Biomass / production of zooplankton and micronekton (SEAPODYM outputs) |

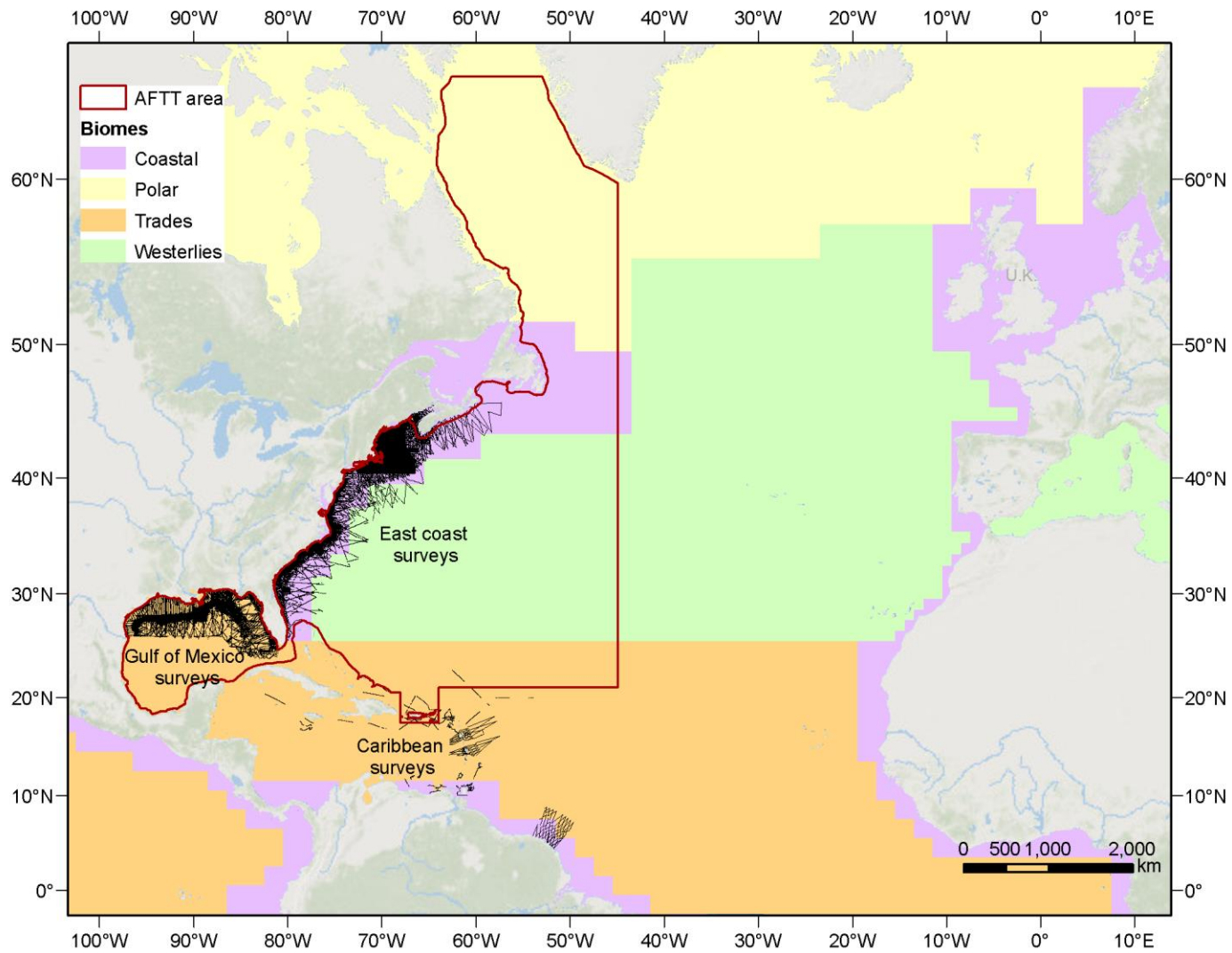
To extrapolate carefully, we:

- (1) Built models with environmental covariates only
- (2) Incorporated surveys from relevant ecological biomes in the North Atlantic

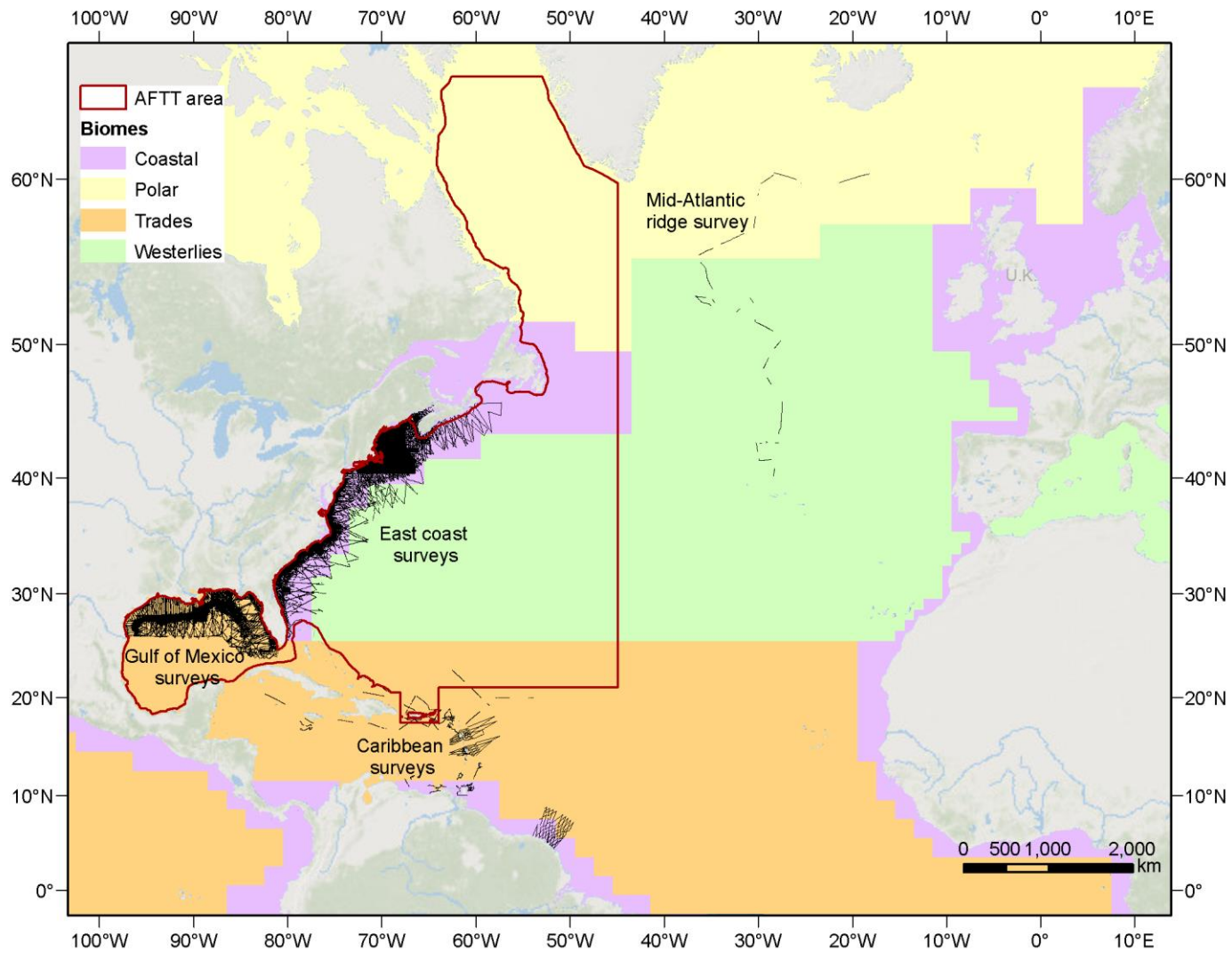
# MATERIAL AND METHODS



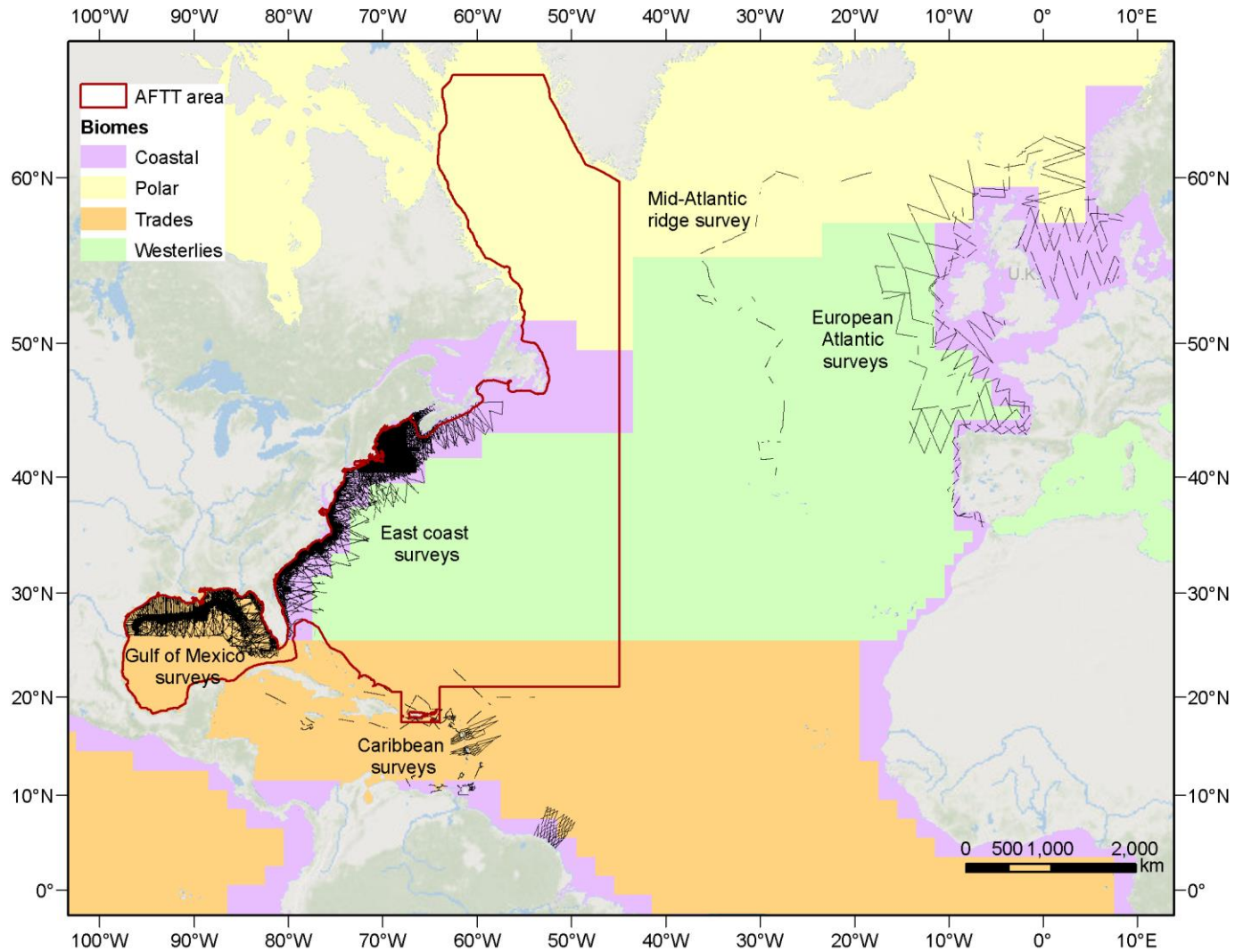
# MATERIAL AND METHODS



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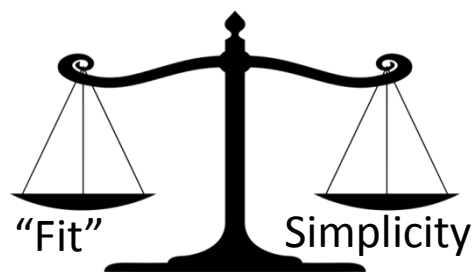
# MATERIAL AND METHODS



**Increase the coverage of ecological biomes encompassed by the AFTT area**

## To extrapolate carefully, we:

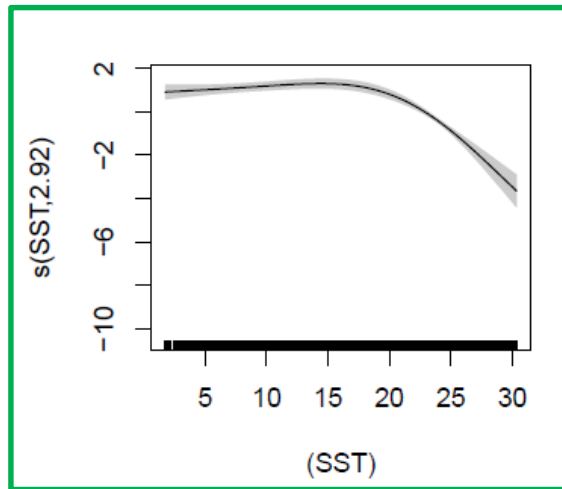
- (1) Built models with environmental covariates only
- (2) Incorporated line transect surveys from relevant ecological biomes in the North Atlantic
- (3) Fitted parsimonious models





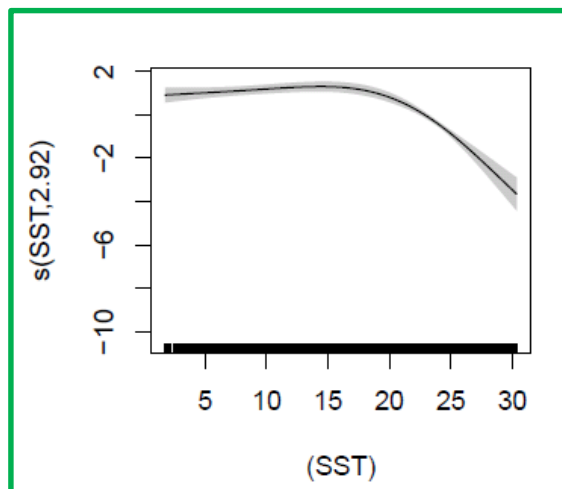
Limited the degrees of freedom of smooth functions to mitigate overfitting and avoid reproducing the detailed patterns present in the data

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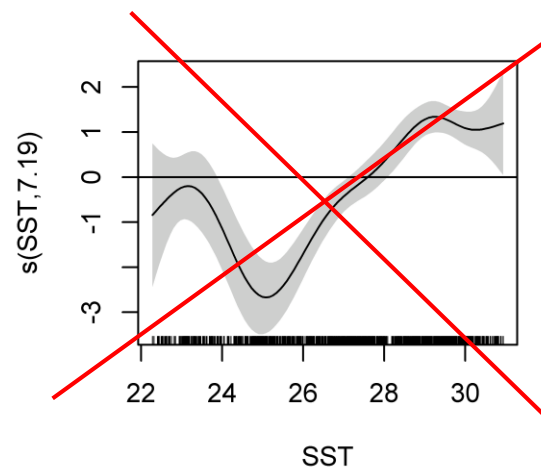


**Limited degrees of freedom**

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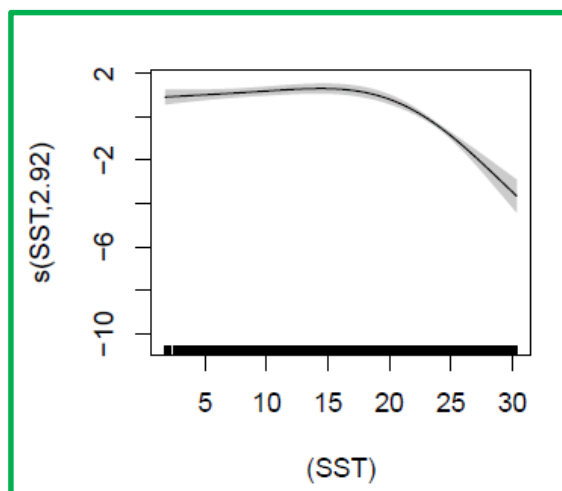


Limited degrees of freedom

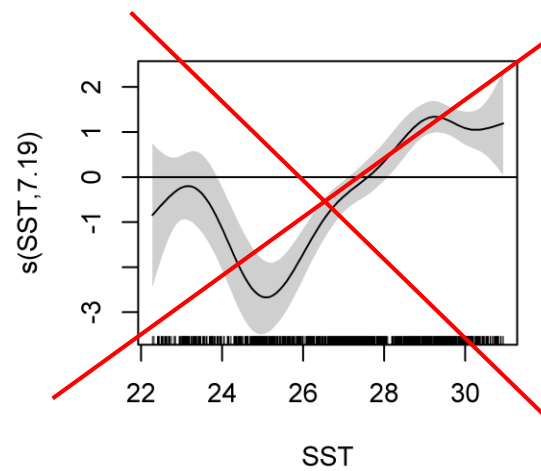


Overfitted

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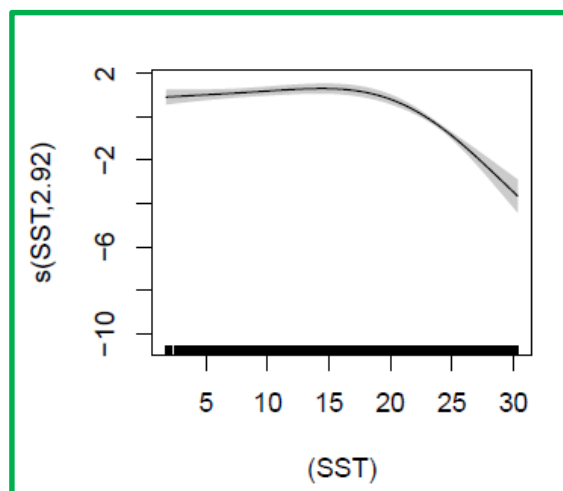
**Limited degrees of freedom**



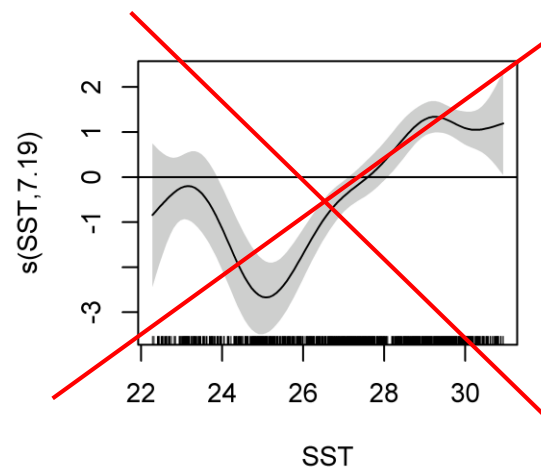
**Overfitted**

Limited the number of covariates to help understand the primary environmental drivers of cetacean abundances

Limited the degrees of freedom of smooth functions to mitigate overfitting and avoid reproducing the detailed patterns present in the data



Limited degrees of freedom



Overfitted

Limited the number of covariates to help understand the primary environmental drivers of cetacean abundances

→ Better generalize predictions to unsurveyed areas

In total, we modeled 29 cetacean taxa

Sei whale



Striped dolphin



Sei whale

Summer  
model

# Sei whale

Summer  
model

Surveys:

EC

GOM

CAR

MAR



# Sei whale

Summer  
model

Surveys:

- EC
- GOM
- CAR
- MAR

Predictors:

Expl Dev 38.5%

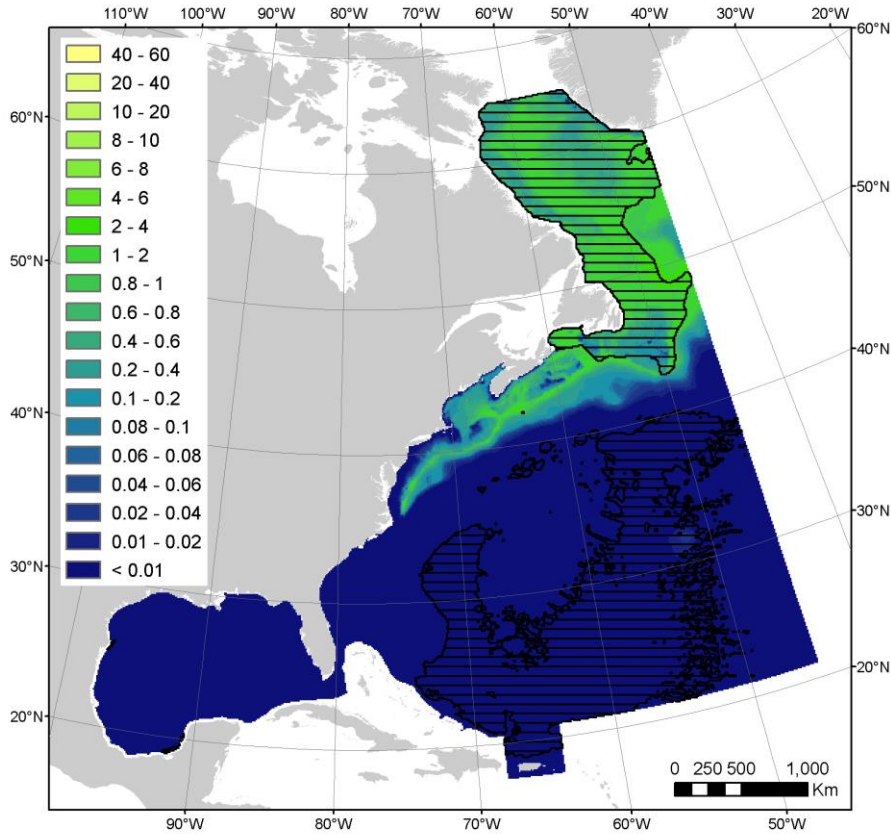
- Depth
- Sea level anomaly
- Sea surface temperature
- Production of micronekton

# Sei whale

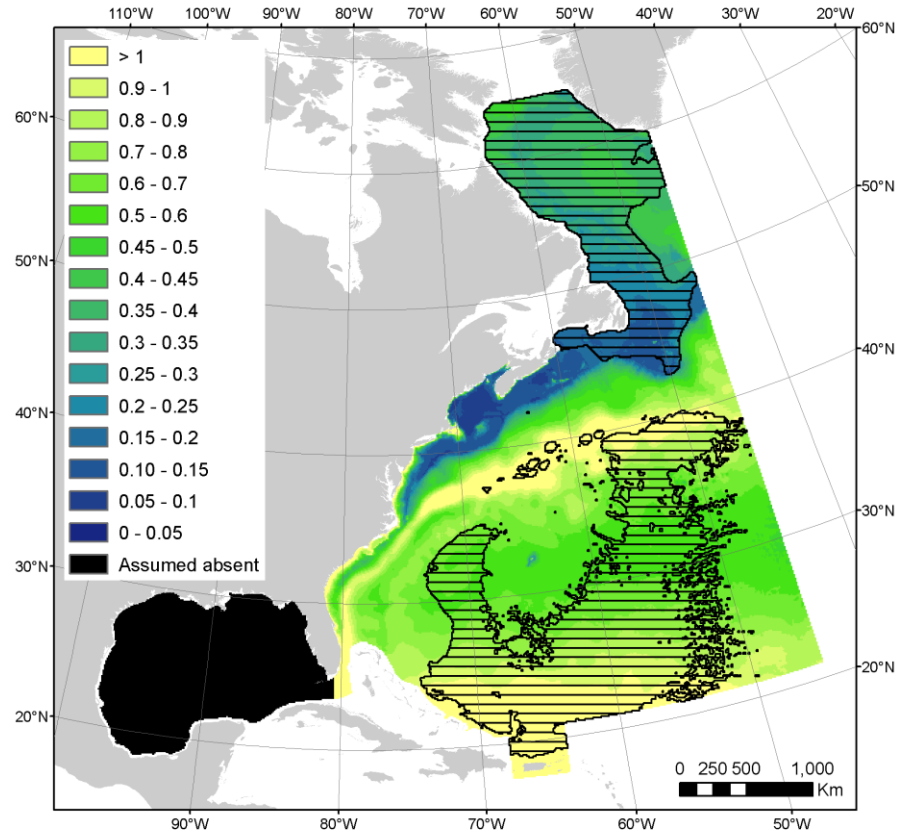
Summer  
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Predicted densities (individuals. 100 km<sup>-2</sup>)



Coefficient of variation

# Sei whale

Summer model

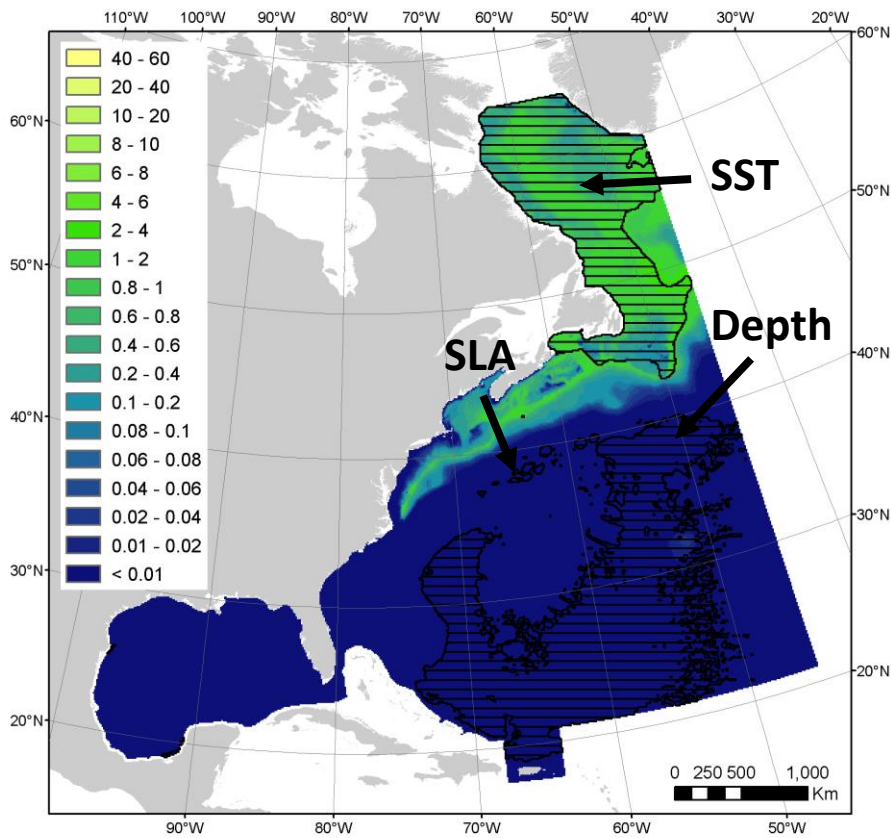
Surveys:

- EC
- GOM
- CAR
- MAR

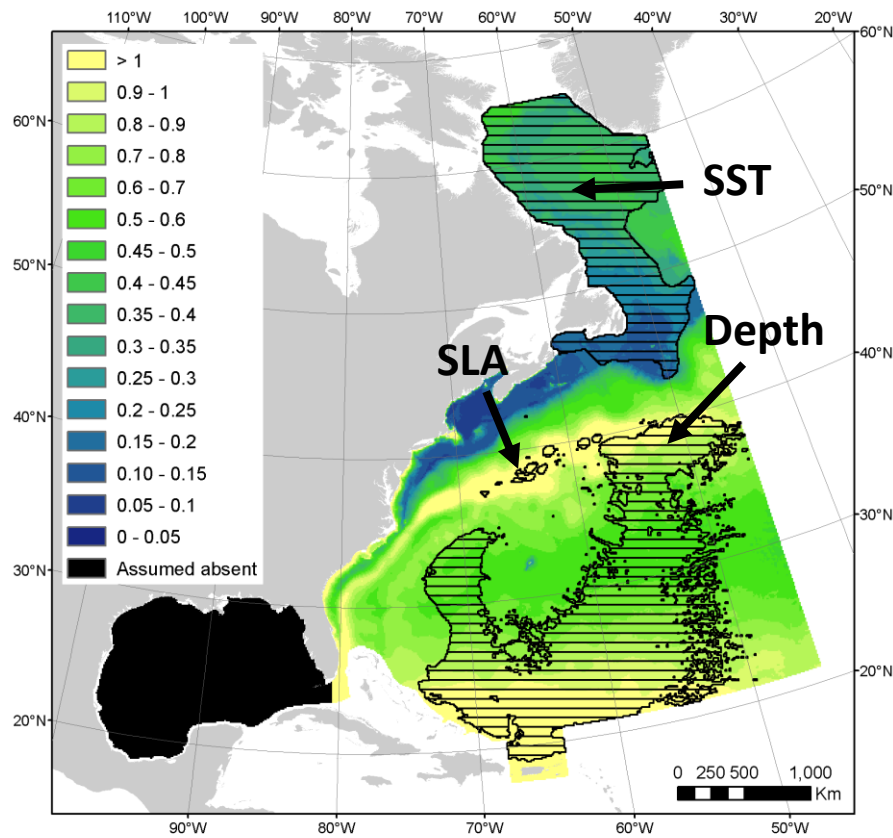
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Coefficient of variation

Striped dolphin

Year-round  
model

# Striped dolphin

Year-round  
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Surveys:

EC

GOM

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# Striped dolphin

Year-round  
model

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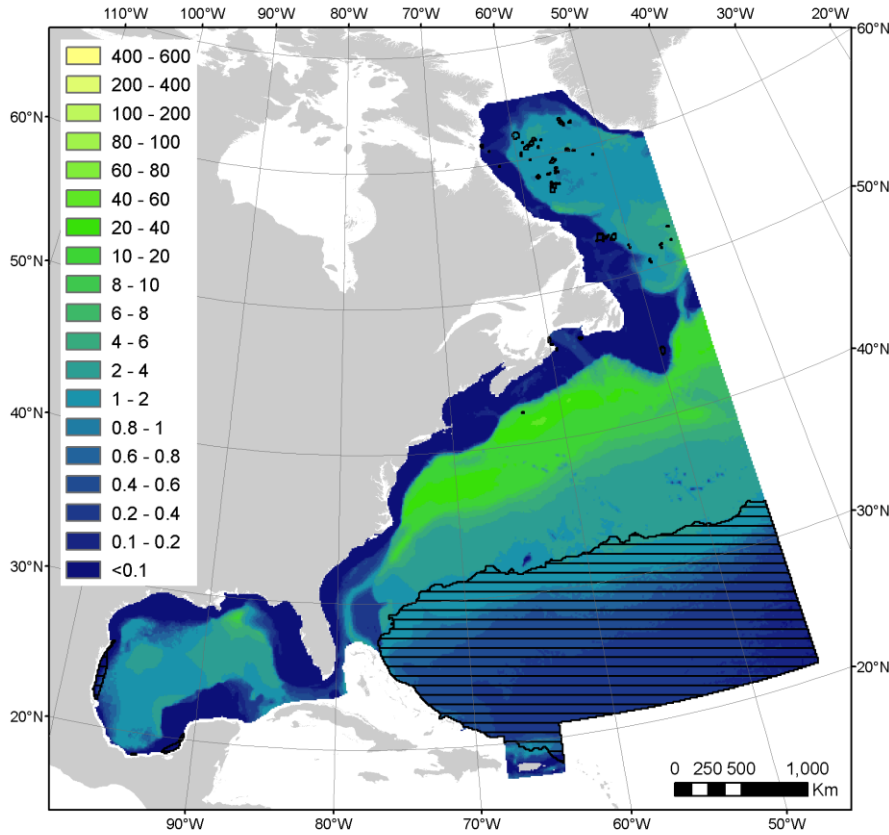
Predictors:                      Expl Dev 57%  
Depth  
Production of micronekton  
Chlorophyll concentration  
Distance to SST fronts

# Striped dolphin

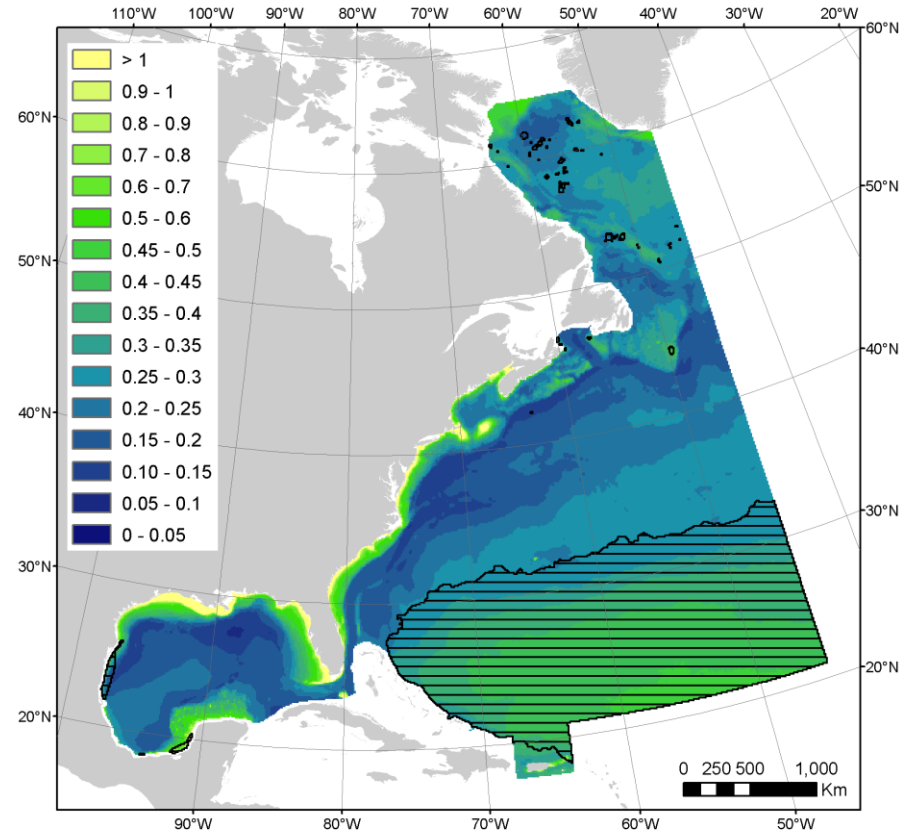
Year-round model

Surveys:  
 EC  
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Predictors: Expl Dev 57%  
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Predicted densities (individuals. 100 km<sup>-2</sup>)



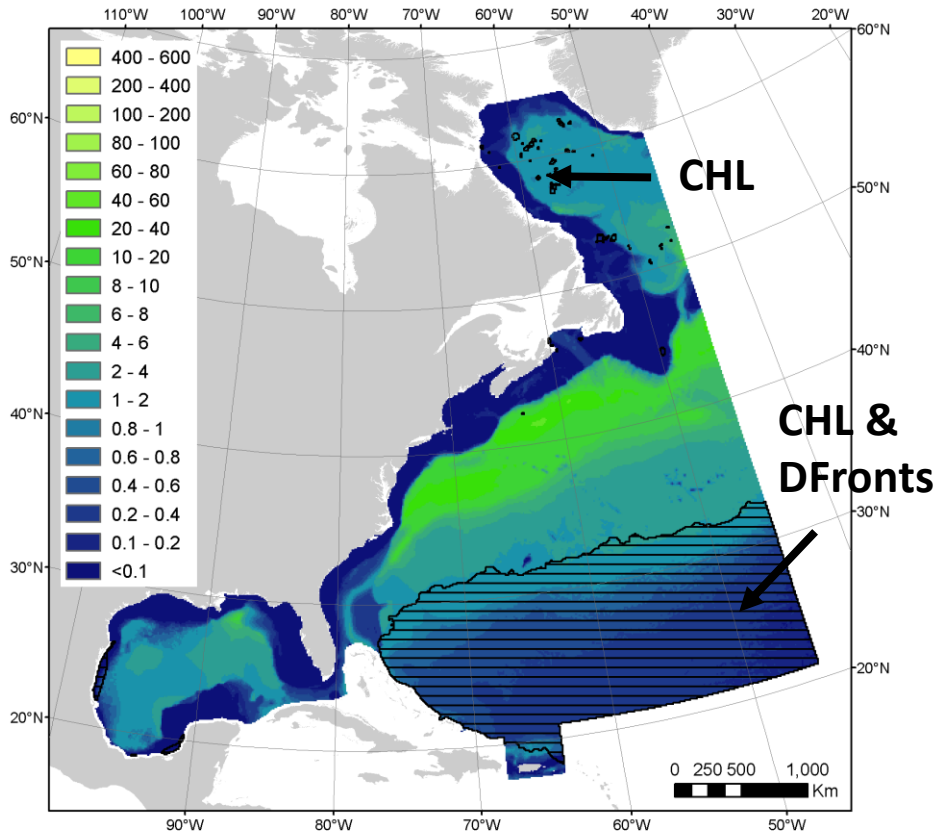
Coefficient of variation

# Striped dolphin

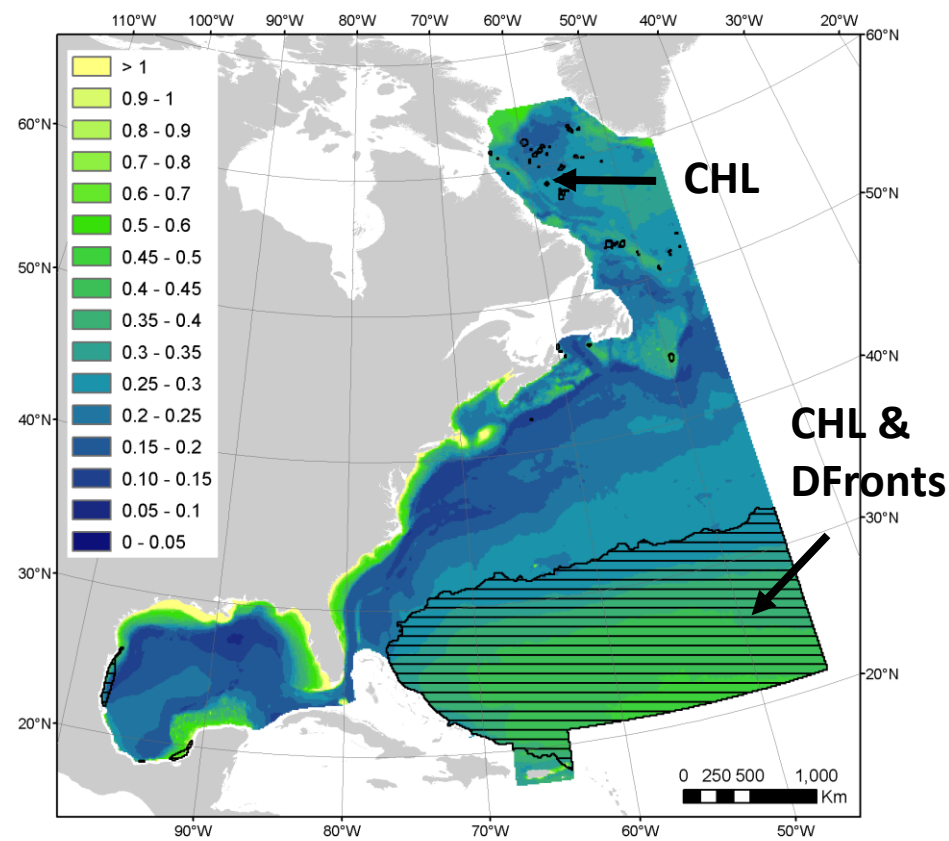
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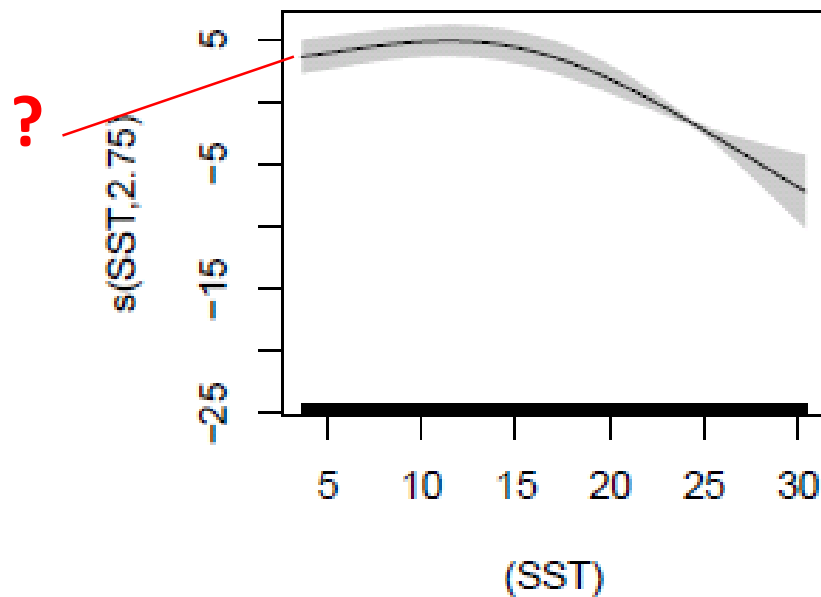
Coefficient of variation





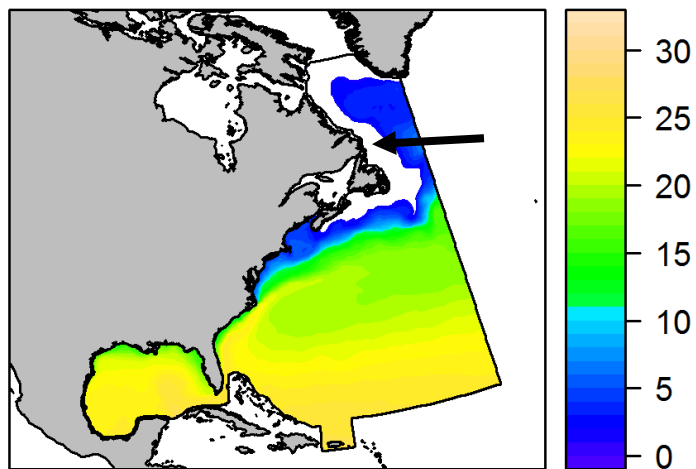
Strong assumptions on the shapes of cetacean-environment relationships beyond the sampled covariate ranges

Example: sei whale

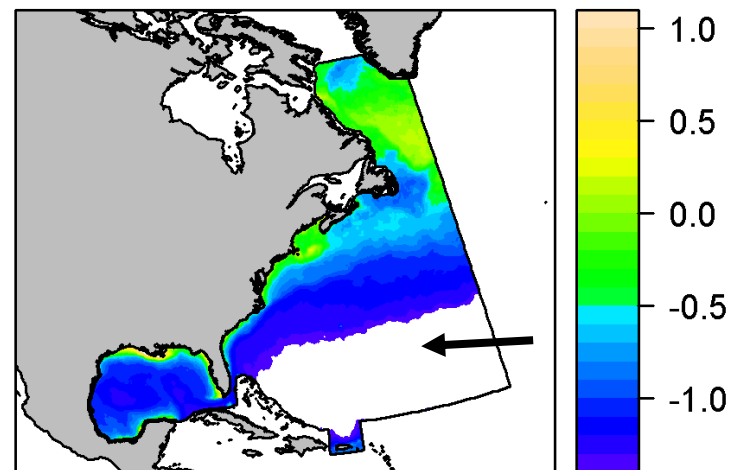


Possible underestimation of sei whale abundance in cold northern waters

## Predictions less reliable in certain areas

SST in February ( $^{\circ}\text{C}$ )

Polar waters with colder SST  
in winter

Log CHL in June ( $\text{mg}\cdot\text{m}^{-3}$ )

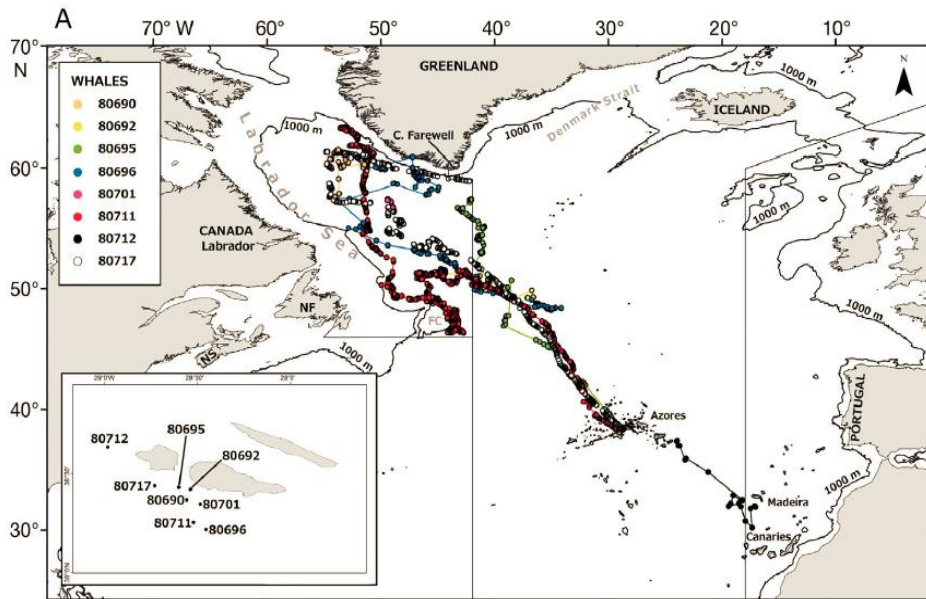
North Atlantic gyre with lower CHL  
in summer

Lack of data for evaluating model predictions in the high seas

Qualitative assessment of predictions with presence only data from the literature:

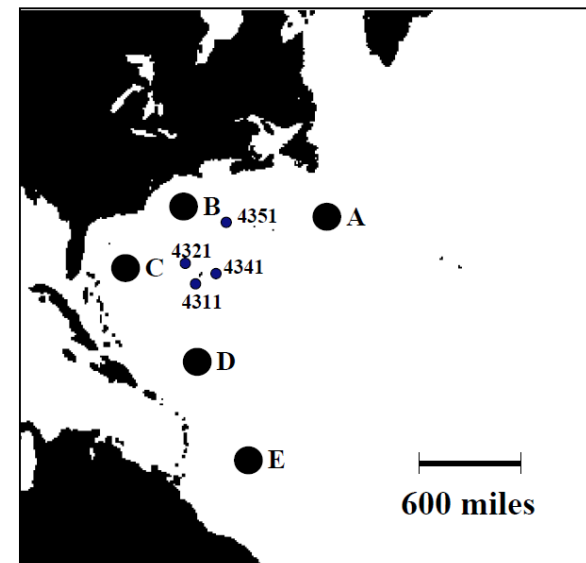
# Lack of data for evaluating model predictions in the high seas

Qualitative assessment of predictions with presence only data from the literature:



Prieto et al. 2012

Tracks of sei whales tagged in the Azores



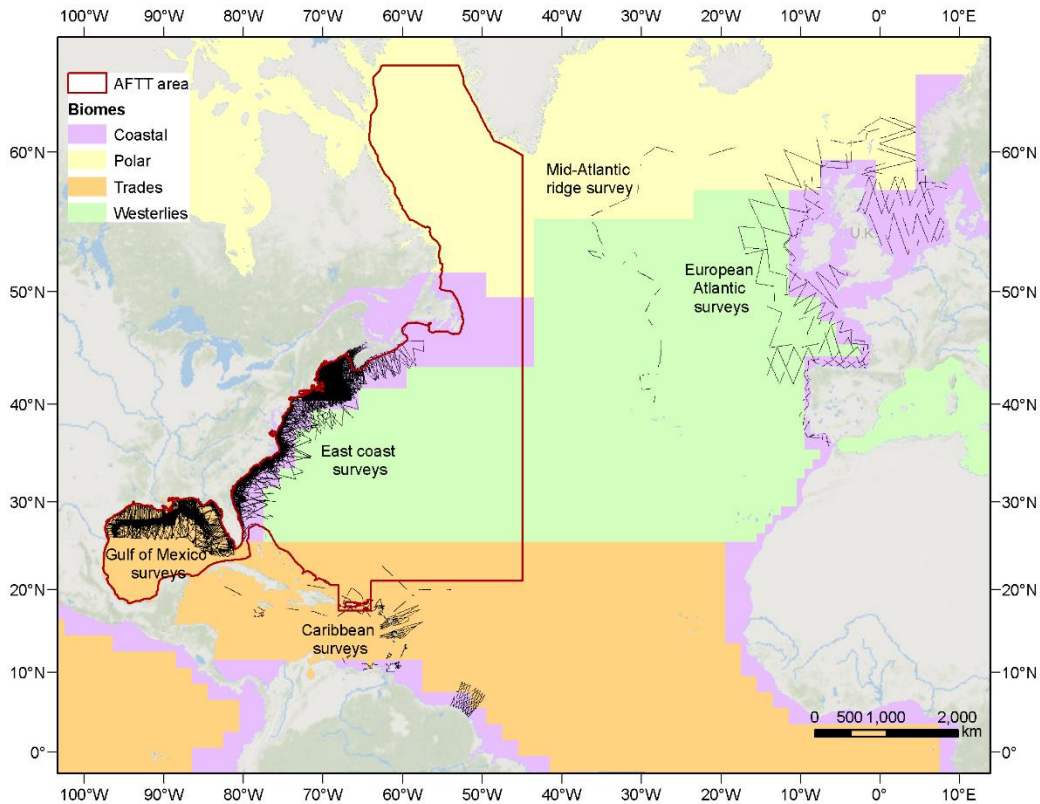
Clark and Gagnon 2004

Hydrophones from the Navy SOSUS



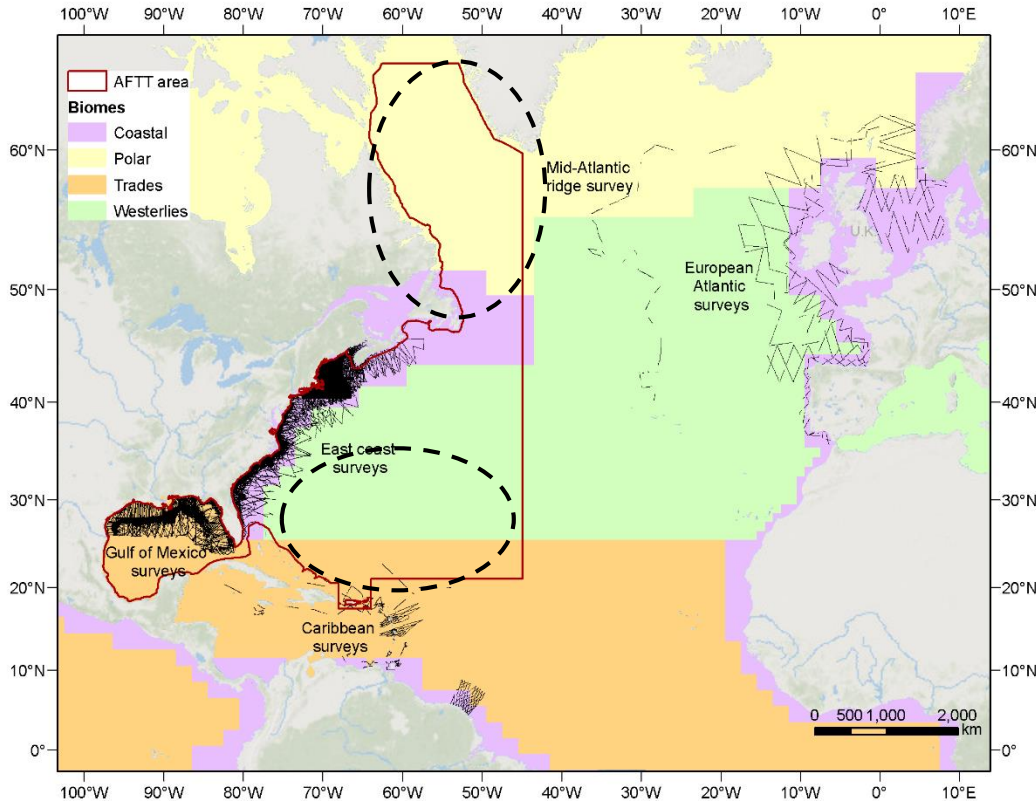
These density estimates will be entered in the Navy Acoustic Effects Model to estimate potential incidental 'takes' of marine mammals in the AFTT area





- As new survey data become available, we plan to continuously update and refine our models to provide the most accurate estimates in the AFTT area





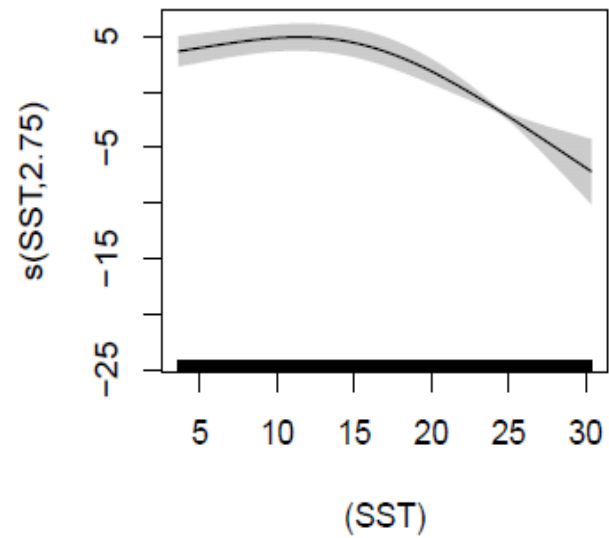
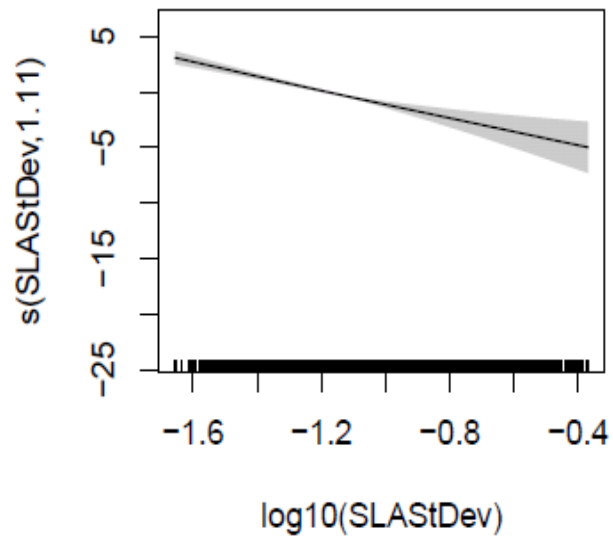
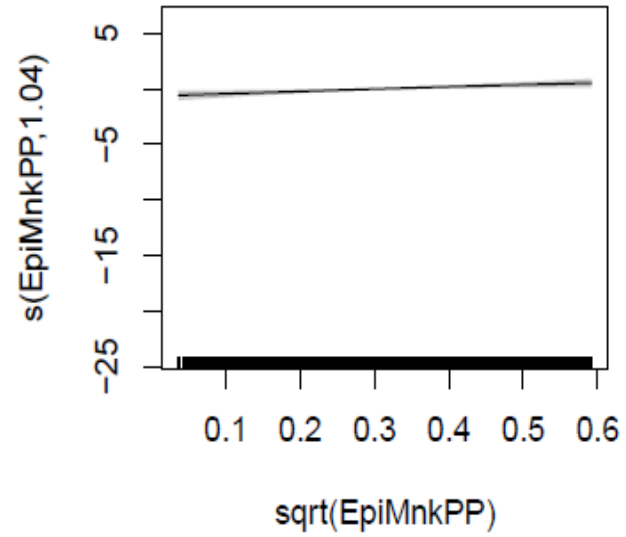
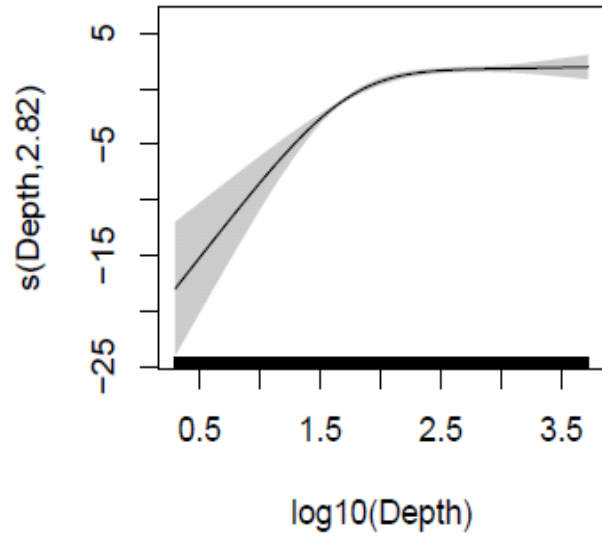
- As new survey data become available, we plan to continuously update and refine our models to provide the most accurate estimates in the AFTT area
- **The incorporation of surveys from the North Atlantic gyre and polar waters would greatly improve the models**

Thank you for your attention!

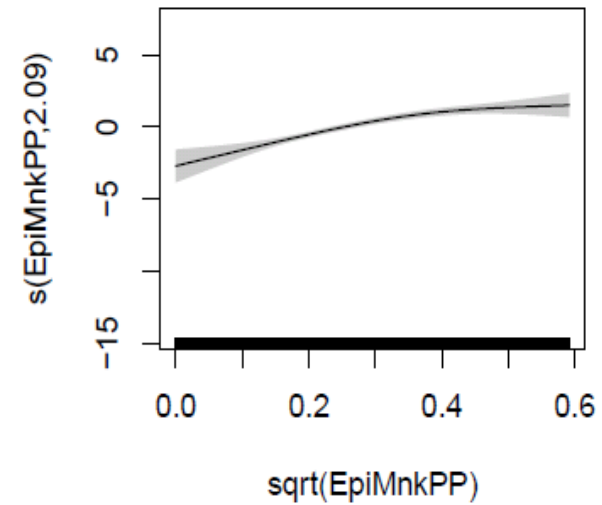
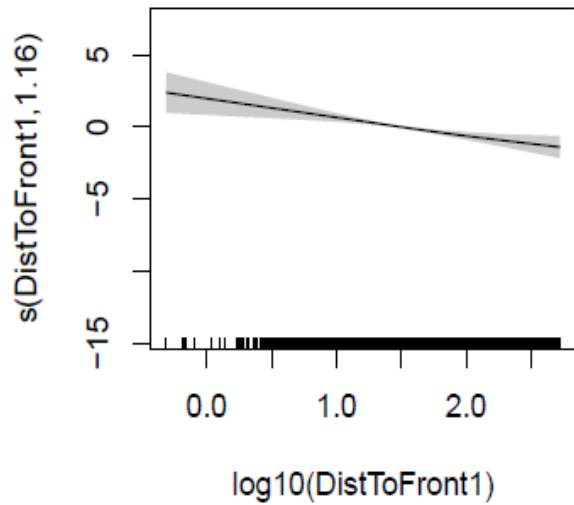
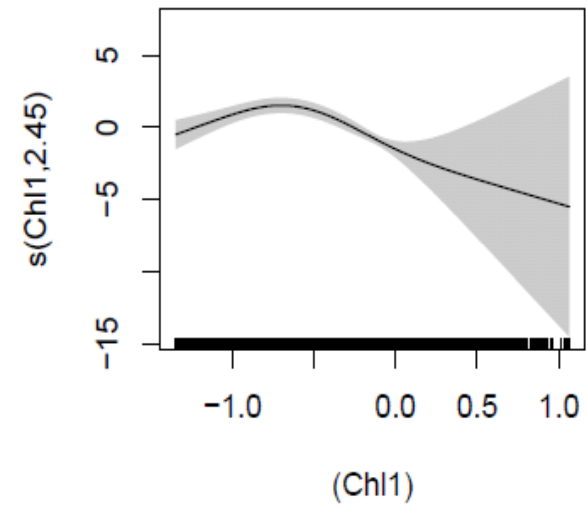
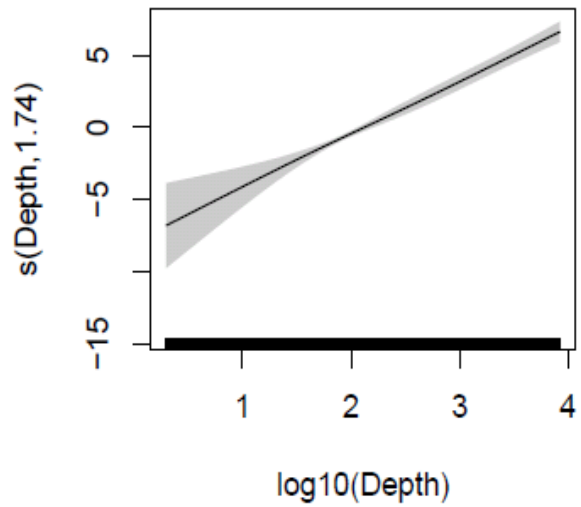




# Sei whale



# Striped dolphin



# Two-stage density surface modeling

(1) Fit detection functions and estimate abundance on segments

$$N_j = \sum_{r=1}^{R_j} \frac{S_{rj}}{g(0)p_j}$$

$R_j$  number of observations in segment  $j$

$S_{rj}$  size of the  $r^{\text{th}}$  group in segment  $j$

$p_j$  probability of detection on segment  $j$

$g(0)$  probability of detection on the trackline

(2) Fit a GAM with estimated abundance as the response and segment area as the offset

$$E(N_j) = A_j \exp[\beta_0 + \sum_k f_k(z_{jk})]$$

$N_j$  is assumed to follow a Tweedie distribution

The offset  $A_j$  is the area of segment  $j$

$f_k$  are smooth functions of the covariates  $z_{jk}$

$\beta_0$  is the intercept